

# A ‘classical’ proof that $2^{2^{\mathbb{N}}}$ is homeomorphic to $\mathbb{N}$

We shall give a ‘classical’ proof of the following fact:

$2^{2^{\mathbb{N}}}$  is homeomorphic to  $\mathbb{N}$ .

In this assertion,  $\mathbb{N}$  is the discrete topological space on the set of natural numbers,  $2^{\mathbb{N}}$  is the set of all continuous functions  $\mathbb{N} \rightarrow 2$  (where  $2 = \{0, 1\}$  is a discrete two-point space), equipped with the compact-open topology, and  $2^{2^{\mathbb{N}}}$  is the set of all continuous functions  $2^{\mathbb{N}} \rightarrow 2$ , also equipped with the compact-open topology. Note that  $2^{\mathbb{N}}$  consists of *all* functions  $\mathbb{N} \rightarrow 2$ , because every function from a discrete space is continuous.

Some notation. For any natural number  $n$  we denote by  $[n]$  the set of all natural numbers strictly less than  $n$ .<sup>1</sup> Let  $m, n \in \mathbb{N}$ . The set  $2^{[n]}$  consists of all 01-strings of length  $n$ , while  $2^{\mathbb{N}}$  is the set of all 01-strings of infinite length. For any  $a \in 2^{[n+m]}$  and any  $x \in 2^{\mathbb{N}}$ , we denote by  $a[n]$  the restriction  $[n] \hookrightarrow [n+m] \xrightarrow{a} 2$ , and by  $x[n]$  the restriction  $[n] \hookrightarrow \mathbb{N} \xrightarrow{x} 2$ ; if  $A \subseteq 2^{[n+m]}$  and  $X \subseteq 2^{\mathbb{N}}$ , then we write  $A[n] := \{a[n] \mid a \in A\}$  and  $X[n] := \{x[n] \mid x \in X\}$ . If  $a \in 2^{[n]}$ , and  $x \in 2^{[m]}$  or  $x \in 2^{\mathbb{N}}$ , we denote by  $ax$  the concatenation of the 01-string  $a$  followed by the 01-string  $x$ ; if  $A$  is a set of finite 01-strings, and  $X$  is a set of finite or infinite 01-strings, then we write  $AX = \{ax \mid a \in A, x \in X\}$ . Finally, we denote by  $2^*$  the set of all finite 01-strings, that is, the (disjoint) union of the sets  $2^{[n]}$  for all  $n \in \mathbb{N}$ .

The proof. The topology of  $2^{\mathbb{N}}$  is finite-open, it has a basis  $\{a2^{\mathbb{N}} \mid a \in 2^*\}$ ; all basic open sets are closed. The space  $2^{\mathbb{N}}$  is the product of  $\mathbb{N}$  compact two-point spaces  $2$ , hence compact.<sup>2</sup> A subset of  $2^{\mathbb{N}}$  is clopen (closed and open) if and only if it is a union of finitely many basic open sets: since basic open sets are closed, a union of finitely many of them is always clopen; conversely, a clopen subset, being open, is the union of some set  $\mathcal{U}$  of basic open sets, while as a closed subset of a compact space it is compact, whence it is the union of a finite subset of  $\mathcal{U}$ . Also, we can characterize the clopen subsets of  $2^{\mathbb{N}}$  as the subsets of the form  $A2^{\mathbb{N}}$ , where  $A$  is a subset of  $2^{[n]}$  for some  $n \in \mathbb{N}$ . The set of all clopen subsets of  $2^{\mathbb{N}}$  is countably infinite.

A function  $f: 2^{\mathbb{N}} \rightarrow 2$  is continuous if and only if  $f^{-1}(0)$  and  $f^{-1}(1)$  are clopen subsets of  $2^{\mathbb{N}}$ , that is, if and only if there exist  $n \in \mathbb{N}$  and  $g: 2^{[n]} \rightarrow 2$  such that  $f(x) = g(x[n])$  for all  $x \in 2^{\mathbb{N}}$ .

Let  $f \in 2^{2^{\mathbb{N}}}$ , and let  $n$  and  $g$  be as in the preceding paragraph. Let  $a \in 2^{[n]}$  and  $j \in 2$ ; since  $a2^{\mathbb{N}}$  is a compact subset of  $2^{\mathbb{N}}$  and  $\{j\}$  is an open subset of  $2$ , the set  $\mathcal{W}(a, j)$ , consisting of all  $h \in 2^{2^{\mathbb{N}}}$  such that  $h(a2^{\mathbb{N}}) = \{j\}$ , is a basic open subset of the topological space  $2^{2^{\mathbb{N}}}$ . But then  $\bigcap \{\mathcal{W}(a, g(a)) \mid a \in 2^{[n]}\} = \{f\}$  is an open subset of  $2^{2^{\mathbb{N}}}$ , this for an arbitrary  $f \in 2^{2^{\mathbb{N}}}$ , and we see that  $2^{2^{\mathbb{N}}}$  is a discrete space, of the same cardinality as  $\mathbb{N}$ . Done.

The points of  $2^{2^{\mathbb{N}}}$  are precisely the characteristic functions of the clopen subsets of  $2^{\mathbb{N}}$ . To exhibit an actual homeomorphism  $2^{2^{\mathbb{N}}} \cong \mathbb{N}$ , we have to construct some bijective enumeration of the clopen subsets of  $2^{\mathbb{N}}$  by all natural numbers. For each clopen subset  $U$  of  $2^{\mathbb{N}}$  there exists the least  $n \in \mathbb{N}$  such that  $U = U[n]2^{\mathbb{N}}$ ; we denote this  $n$  by  $\nu(U)$ .<sup>3</sup> For each  $n \in \mathbb{N}$  let  $\mathcal{U}(n)$  be the set of all clopen subsets  $U$  of  $2^{\mathbb{N}}$  with  $\nu(U) = n$ . Then  $\mathcal{U}(0) = \{\emptyset, 2^{\mathbb{N}}\}$ , and if  $n > 0$ , then  $\mathcal{U}(n)$  consists of all  $A2^{\mathbb{N}}$ , where  $A \subseteq 2^{[n]}$  is not of the form  $A'2^{[1]}$  for some subset  $A'$  of  $2^{[n-1]}$ . We have  $|\mathcal{U}(0)| = 2$ , and  $|\mathcal{U}(n)| = 2^{2^n} - 2^{2^{n-1}}$  for  $n > 0$ . Now, assign  $\emptyset$  to 0 and  $2^{\mathbb{N}}$  to 1; for each  $n > 0$ , lexicographically order  $2^{[n]}$ , then, using this linear ordering,

<sup>1</sup>Yes, yes, yes, we know that  $[n] = n$ , at least in some mathematical universes. The point of the notation  $[n]$  is that it represents a *set* of natural numbers, not an individual natural number. We won’t be quite consistent in the use of this notation, though, because we shall still write  $2$  instead of  $[2]$ .

<sup>2</sup>We do not need AC to prove this.

<sup>3</sup>Note that  $\nu(2^{\mathbb{N}} \setminus U) = \nu(U)$  for every clopen subset  $U$  of  $2^{\mathbb{N}}$ .

lexicographically order the set of all subsets of  $2^{[n]}$ , and finally, bijectively enumerate the clopen sets  $U \in \mathcal{U}(n)$  by the natural numbers  $k$  in the range  $2^{2^{n-1}} < k \leq 2^{2^n}$ , according to the increasing lexicographic order of the sets  $U[n] \subseteq 2^{[n]}$ . In this way we obtain an effective bijective enumeration of clopen subsets of  $2^{\mathbb{N}}$  by all natural numbers.